



MEETING: AUDIT AND GOVERNANCE COMMITTEE

DATE: Wednesday 11 December 2024

TIME: 3.00 pm

VENUE: Committee Room - Bootle Town Hall, Trinity Road, Bootle, L20 7AE

Member

Cllr. Dave Robinson (Chair)
Cllr. Michael Roche (Vice-Chair)
Cllr. Jim Conalty
Cllr. Joe Johnson
Cllr. Ian Maher
Cllr. Dominic McNabb
Cllr. Dave Neary, PhD
Cllr. Dr. John Pugh
Cllr. Simon Shaw
Cllr. Tom Spring
Rachel Oakes

Substitute

Cllr. Mike Desmond F.R.C.A.
Cllr. Paula Murphy
Cllr. Leslie Byrom C.B.E.
Cllr. James Hansen
Cllr. Laura Lunn-Bates
Cllr. Judy Hardman
Cllr. Catie Page
Cllr. Mike Sammon
Cllr. Mike Sammon
Cllr. Jennifer Corcoran

COMMITTEE OFFICER: Amy Dyson Democratic Services Officer
Telephone: 0151 934 3173
E-mail: amy.dyson@sefton.gov.uk

If you have any special needs that may require arrangements to facilitate your attendance at this meeting, please contact the Committee Officer named above, who will endeavour to assist.

We endeavour to provide a reasonable number of full agendas, including reports at the meeting. If you wish to ensure that you have a copy to refer to at the meeting, please can you print off your own copy of the agenda pack prior to the meeting.

AGENDA

1. Apologies for absence

2. Declarations of Interest

Members are requested at a meeting where a disclosable pecuniary interest or personal interest arises, which is not already included in their Register of Members' Interests, to declare any interests that relate to an item on the agenda.

Where a Member discloses a Disclosable Pecuniary Interest, he/she must withdraw from the meeting room, including from the public gallery, during the whole consideration of any item of business in which he/she has an interest, except where he/she is permitted to remain as a result of a grant of a dispensation.

Where a Member discloses a personal interest he/she must seek advice from the Monitoring Officer or staff member representing the Monitoring Officer to determine whether the Member should withdraw from the meeting room, including from the public gallery, during the whole consideration of any item of business in which he/she has an interest or whether the Member can remain in the meeting or remain in the meeting and vote on the relevant decision.

3. Minutes

Minutes of the meeting held on 4 September 2024 were approved at the Statement of Accounts meeting held on 20 November 2024

4. Corporate Risk Management

(To Follow)

Report of Executive Director of Corporate Services and Commercial

5. DBS Checks for Elected Members

(Pages 5 - 14)

Report of Executive Director of Corporate Services and Commercial

6. Financial Procedure Rules

(Pages 15 - 88)

Report of Executive Director of Corporate Services and Commercial

7. Follow up of Audit Agreed Actions

(To Follow)

Report of Executive Director of Corporate Services and Commercial

8. **Policy and Review of Whistleblowing Referrals 2023 - 2024** (Pages 89 - 94)
Report of Executive Director of Corporate Services and Commercial
9. **Risk and Audit Service Performance** (To Follow)
Report of Executive Director of Corporate Services and Commercial
10. **Treasury Management Position to September 2024** (Pages 95 - 106)
Report of Executive Director of Corporate Services and Commercial
11. **Work Programme Update Report** (To Follow)
Report of Executive Director of Corporate Services and Commercial
12. **Exclusion of Press and Public**
The following report(s) are *not* Exempt / Confidential but include appendices which contain exempt information as defined in Paragraph 3 of Part 1 of Schedule 12A under Section 100A (4) of the Local Government Act 1972. Namely,
- **Item 13 Unrecoverable Debts over £10,000 –**
Appendices 1, 2, 3 and 4
- Members are therefore requested to consider whether or not they wish to discuss any matters referred to in the exempt appendices and accordingly, consider passing the following resolution:
- That, under Section 100A(4) of the Local Government Act, 1972, the press and public be excluded from the meeting for any items of business which might involve the likely disclosure of exempt information as defined in Paragraph 3 of Part 1 of Schedule 12A to the Act. The Public Interest Test has been applied and favours exclusion of the information from the Press and Public.
13. **Unrecoverable Debts over £10,000** (Pages 107 - 130)
Report of the Executive Director – Corporate Services and Commercial